

COPY OF PAPERS
ORIGINALLY FILED

PATENT

DOCKET NO.: NOVA-0076



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael S. Dobres, Aidyn Mouradov and
Hong Zhang

Serial No.: 09/911,588

Group No.: TBA

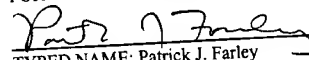
Filing Date: July 24, 2001

Examiner: TBA

For: Transformation of Plants by Electroporation of Cultured Explants

DATE OF DEPOSIT: December 20, 2001

I HEREBY CERTIFY THAT THIS PAPER IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID
ON THE DATE INDICATED ABOVE AND IS ADDRESSED
TO BOX MISSING PARTS, ASSISTANT COMMISSIONER
FOR PATENTS, WASHINGTON, DC 20231.


TYPED NAME: Patrick J. Farley
REGISTRATION NO.: 42.524

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION AND
REQUEST FOR EXTENSION OF TIME**

In response to the "Notice to File Missing Parts of Application--Filing Date Granted"
dated September 24, 2001, a response to which is due December 24, 2001, enclosed herewith for
filing is:

- ☒ A copy of the Notice to File Missing Parts.
- ☐ The original Combined Declaration and Power of Attorney, executed by the
inventor(s). An unexecuted copy of this document, attached to the above-
identified specification, was filed by Express Mail No. @@ on @@.
- ☐ An Associate Power of Attorney is also enclosed.
- ☐ Basic Filing Fee of @@ is enclosed together with the @@ surcharge.

- ☐ Request for Corrected Filing Receipt.
- ☐ A substitute specification in compliance with 37 C.F.R. 1.52.
- ☐ _____ Sheets of Substitute drawings in compliance with 37 C.F.R. 1.84.
- ☒ Other: Declaration, Assignee Power of Attorney, Power of Attorney and Certificate Under 37 CFR 3.73(b), and a copy of the EXECUTED Assignment; Response to Raw Sequence Listing Error Report Attached to the Notice to File Missing Parts Mailed 09/24/2001, Statement to Support Filing and Submission in Accordance with 37 CFR 1.821 through 1.825, substitute pages of Sequence Listing (pages 1-3), substitute copy of the computer readable form of amended Sequence Listing, copy of Raw Sequence Listing Error Report.
- ☒ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
- ☐ an Independent Inventor
- ☐ a Small Business Concern
- ☐ a Nonprofit Organization
- ☒ Request is hereby made under 37 CFR 1.136(a) to extend the time for response to the Notice to File Missing Parts of Application of September 24, 2001 to and through December 24, 2001, comprising an extension of the shortened period of one month:

	SMALL ENTITY		NOT SMALL ENTITY	
	RATE	FEE	RATE	FEE
MISSING PARTS SURCHARGE	\$65	\$65.00	\$130	\$
<input checked="" type="checkbox"/> ONE MONTH EXTENSION OF TIME	\$55	\$55.00	\$110	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME	\$200	\$	\$400	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME	\$460	\$	\$720	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME	\$720	\$	\$1440	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME	\$980	\$	\$1960	\$
TOTAL FEE DUE		\$120.00		

Applicant(s) has/have not been notified that the requested extension will not be permitted. The present application is not involved in an interference declared pursuant to 37 CFR 1.207.

Total fee required \$120.00

- ☒ A check in the amount of \$120.00 is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$@@.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
 - ☒ Any additional filing fees required under 37 CFR 1.16 including fees for presentation of extra claims.
 - ☒ Any additional patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
 - ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
 - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
 - ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

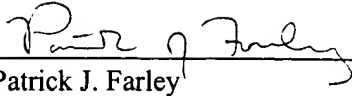
DOCKET NO.: NOVA-0076

- 4 -

PATENT

This sheet is attached in duplicate.

Date: December 20, 2007


Patrick J. Farley
Registration No. 42,524

Woodcock Washburn LLP
One Liberty Place - 46th Floor
Philadelphia PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439

© 2001 WW

DOCKET NO.: NOVA-0076

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael S. Dobres, Aidyn Mouradov and
Hong Zhang

Serial No.: 09/911,588

Group Art Unit: TBA

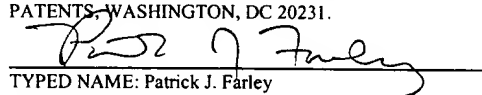
Filing Date: July 24, 2001

Examiner: TBA

For: Transformation of Plants by Electroporation of Cultured Explants

DATE OF DEPOSIT: December 20, 2001

I HEREBY CERTIFY THAT THIS PAPER IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID
ON THE DATE INDICATED ABOVE AND IS ADDRESSED
TO BOX SEQUENCE, ASSISTANT COMMISSIONER FOR
PATENTS, WASHINGTON, DC 20231.


TYPED NAME: Patrick J. Farley
REGISTRATION NO.: 42,524

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington DC 20231

**RESPONSE TO RAW SEQUENCE LISTING ERROR REPORT
ATTACHED TO THE NOTICE TO FILE MISSING PARTS MAILED 09/24/2001**

In response to the "Raw Sequence Listing Error Report", enclosed herewith is:

- ☒ Statement to Support Filing and Submission in Accordance with 37 CFR §§1.821 through 1.825;
- ☒ Substitute pages of the Sequence Listing;
- ☒ Substitute copy of the computer readable form of amended Sequence Listing;
- ☒ Copy of Raw Sequence Listing Error Report;
- ☒ Petition for Extension of Time;
- ☒ Other: Response to Notice to File Missing Parts

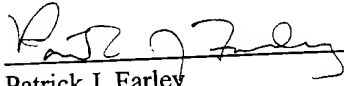
DOCKET NO.: NOVA-0076

- 2 -

PATENT

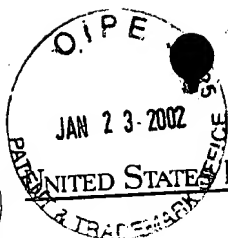
The Commissioner is hereby authorized to charge any underpayment associated with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.

Date: *December 20, 2001*


Patrick J. Farley
Registration No. 42,524

Woodcock Washburn LLP
One Liberty Place - 46th Floor
Philadelphia PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439

© 2001 WW



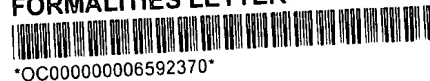
UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/911,588	07/24/2001	Michael S. Dobres	NOVA-0076

CONFIRMATION NO. 4361

FORMALITIES LETTER



Woodcock Washburn Kurtz
 MacKiewicz & Norris LLP
 One Liberty Place - 46th Floor
 Philadelphia, PA 19103

Date Mailed: 09/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

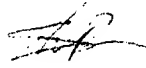
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 65.**
- o A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- o For Rules Interpretation, call (703) 308-4216
- o To Purchase PatentIn Software, call (703) 306-2600
- o For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE